

Advanced Order Entry and Management—Modernize Your Retail Trading

Has your firm kept pace with changes in retail trading technology? Is controlling your trading operations while ensuring compliance becoming an impossible challenge? Are your advisors or investors asking for trading capabilities you can't provide? Scivantage can help.

Scivantage's trading applications provide complete web-based trading solutions for advisors and online brokerage channels, enabling advanced capabilities not offered from your back office or clearing firm. This includes Conditional Orders, Complex Options, Block Trading and Dynamic Trade Rules with Review and Release. Scivantage Trade Processing applications are flexible and configurable web-based tools which simplify order entry, reduce trade errors and deliver advanced trading capabilities to your advisors and online retail clients.

Using our proprietary middleware technology, Scivantage Trade Processing provides real-time connectivity to a financial institution's back office, whether you use one or many for your business, to deliver a straight-through-processing environment that supports immediate transaction delivery and real-time order monitoring and execution.

Available as integrated components of Scivantage Investor and Professional, or as a stand alone application integrated into existing web portals or desktop platforms.

Key Features

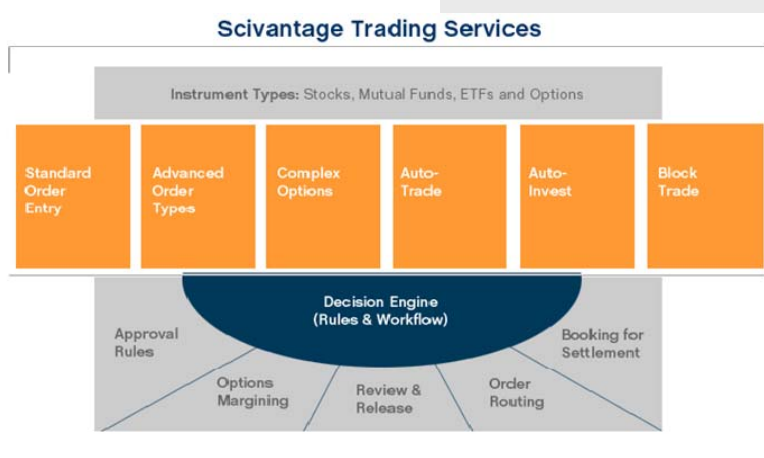
- ▶ Single Order Entry Screens for Equities, Options & Mutual Funds
- ▶ Multiple Security & Account Order Entry
- ▶ Real-Time Order Status
- ▶ Trade Review and Release
- ▶ Directed Trades
- ▶ Configurable Order Validation Parameters and Regulatory Capture
- ▶ Automated Trade Review
- ▶ Advanced Trading: Block Trading
- ▶ Advanced Trading: Conditional Orders
- ▶ Advanced Trading: Complex Options

BENEFITS

- Enables advanced trading capabilities not offered by your back office system or clearing provider
- Web-based solution easily deploys as part of Scivantage Investor or Professional, or integrates to your system
- Enables visibility and control over your trading operations with Scivantage's order management rules engine
- Integrates with your back office
- Supports alternate trading venues
- Modular design allows you to select the trading capabilities specific to your firm's needs.

Modules available

- Standard Trading
- Automated Trade Review
- Advanced Trading:
 - Conditional Orders
 - Complex Options
 - Block Trading (Professional only)
 - Mobile Trading (coming soon)



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