

Scivantage Professional Advanced Trading: Block Orders



Increase Productivity, Enforce Compliance, Attract Advisors

In today's competitive market, an advisor's time is more valuable than ever. With ever-changing regulatory requirements and mounting client expectations, many advisors find themselves looking for new ways to efficiently manage their client accounts and ensure that they remain compliant while trading across their book of business. The Scivantage Professional Block Trading application is uniquely designed to help advisors seamlessly trade across multiple accounts a single order entry session, while providing the necessary capabilities to ensure compliance.

Scivantage Advanced Trading: Block Orders simplifies the process of entering trades into client accounts, while providing average pricing capability to reduce the transaction costs for clients and/or the advisor. Advisors can effect transactions against many accounts, or groups of accounts, in one order entry session in just minutes. Unallocated orders can be allocated easily after the trade from Order Status.

When combined with Scivantage's Automated Trade Review, all block orders and account allocations can be verified prior to the order being submitted to ensure compliance with firm policies, regulations and suitability.

Key Features

- ▶ Trade multiple accounts for the same security and aggregate orders to a single block order against an average price account
- ▶ Automatically handle all share allocations to client accounts, either pre-trade or post-trade
- ▶ Available for Stocks, Options, ETFs and Mutual Funds
- ▶ Allocate orders to accounts automatically or manually based on shares or percentage value
- ▶ Multiple methods for Searching for accounts to allocate to, including by buying power, positions, percent of account value
- ▶ Automated Order and Allocation verification using Scivantage's Automated Trade Review (optional)

BENEFITS

- Advisors spend less time entering and reviewing trades, and more time building their business
- Automated review of all account allocations reduces trade corrections and improves compliance
- Average price trading improves margins on your fee-based advisor business
- State-of-the-art trading tools improves firm's ability to attract and retain top advisors
- Flexible deployment—available as an integrated component of Scivantage Professional or can be integrated into 3rd party trading systems

